

SD COLLEGE HOSHIARPUR
UNIT PLAN
CLASS: M. Com 3rd Sem
SUBJECT: MC 315- Workshop on Financial Markets & Instruments
Max Marks: 100

Course Objective: To familiarize the students with the traditional and modern financial and services.

UNIT-I

Topics	Content	Methods and Techniques	Resource and links
Indian Financial System	Constituents, Functions of the Financial System Inter-relationship between Financial System and Industrial Development Efficiency Indicators of Financial System, Financial Development Ratios RBI and Financial System Monetary Policy and Stability of Financial System, Financial Sector Reform in India, Globalisation of Indian Financial System.	Class room teaching with example PowerPoint Presentations Case studies Google class	1. Saunders , Anthony & Cornett , Marcia Millon : Financial Markets and Institutions Tata McGraw Hill 2. Khan, M Y. : Financial Services : McGraw Hill Higher Education 3. Shahani, Rakesh : Financial Markets in India : A Research Initiative. Anamica Publications 4. Goel, Sandeep. : Financial services. Prentice Hall India.. 5. Gurusamy,S: Financial Services. Tata McGraw Hill www.slideshare.com

Unit -II

Topics	Content	Methods and Techniques	Resource and links
Financial Markets	Major Segments of Financial Markets: Money Market, Capital Market, Foreign Exchange market and Govt. Security Market, Money Market: Call Money Market, Bill Market, Repo Market, T Bill, Commercial Paper, Certificate of Deposits, Capital Market: Primary and Secondary Market, Cash/Spot Market and Derivative Market, and Equity and Debt Market.	Class room teaching with example PowerPoint Presentations Case studies Google class	Khan, M. Y.: Indian Financial System, Tata McGraw, N. Delhi. 2. Bhole, L.M.: Indian Financial System, Tata McGraw, N. Delhi. 3. Fabozzi and Modigliani, Capital Markets: Institutions and Instruments (Prentice Hall of India, New Delhi 4. Desai, V: Indian Financial System and Development (Himalaya Publishing House, N. Delhi.)

Unit -III

Topics	Content	Methods and Techniques	Resource and links
Securities Market	Methods of Issue of securities, Securities trading and Settlement, and Listing of securities, Functions of Stock Exchanges: Operations of OTCEI, and NSE Role of SEBI: Fair market practice and Investor Protection Recent Trends and developments in Security market.	Class room teaching with example PowerPoint Presentations Case studies Google class	

Unit -IV

Topics	Content	Methods and Techniques	Resource and links
Innovative Financial Instruments and Financial Services	Bonds, features and innovations : Ex-interest debentures, Deep discount bonds, and Secured premium notes. Hybrid Securities: Convertible Debentures and bonds Derivatives: Options, Futures and Swaps and other contemporary bond instruments. Innovative financial services: Factoring, Angel financing, Securitisation, and mergers and acquisitions.	Class room teaching with example PowerPoint Presentations Case studies Google class	Khan, M. Y.: Indian Financial System, Tata McGraw, N. Delhi. 2. Bhole, L.M.: Indian Financial System, Tata McGraw, N. Delhi. 3. Fabozzi and Modigliani, Capital Markets: Institutions and Instruments (Prentice Hall of India, New Delhi 4. Desai, V: Indian Financial System and Development (Himalaya Publishing House, N. Delhi.)

QUESTION BANK

1. Briefly explain the concept of financial market?
2. Discuss the functions of financial market?
3. What are the various methods of issuing securities in primary market?
4. What is the difference between a treasury bill and a trade bill?
5. Distinguish between capital market and money market on the basis of following:-
 - Participants
 - Instruments
 - Duration
 - Liquidity
 - Safety
6. Name any 2 instruments of money market?
7. What are the functions of stock exchange?
8. Discuss the protective functions of SEBI?
9. Discuss the role of primary & secondary market in mobilization of savings of households in our economy?
10. Explain dematerialization and depositories?
11. Discuss the working of a demat account?
12. Give the meaning of Financial services.
13. Write two features of hire purchase agreement.
14. List out any four fund based activities of a financial service company.
15. Expand: a. OTCEI b. Nifty c. Sensex
16. What is a depository?
17. Mention steps in credit rating.
18. What is the trading and settlement process in Stock exchange?
19. State three differences between primary and secondary market.
20. Define mutual funds.
21. What is a primary market?
22. List two services of merchant banker.
23. What is insurance?
24. Mention the procedure of taking Insurance policy.
25. Identify the benefits of credit rating agencies to the companies.
26. Briefly explain the various types of leasing.
27. What are the different stages of venture capital financing?
28. Mention the advantages and disadvantages of Mutual funds.
29. Explain the process of venture capital.
30. Describe the functions and powers of SEBI.
31. Explain various principles of Life Insurance.
32. How are mutual funds schemes classified? Explain.

33. Discuss the procedure of issuing equity shares.

Submitted By:
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